

08/10/01

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No. LWE-142	Total Pages
First Named Inventor THOMAS J. KANE	
Title Compound Light Source Employing Passive Q-Switching and Nonlinear Frequency Conversion	
Express Mail Label ET 583616882 US	

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☐ [X] Fee Transmittal Form
2. ☐ [X] Specification Total Pages: [28]
3. ☐ [X] Drawing(s) Total Sheets: [5]
4. ☐ [X] Oath or Declaration Total Pages: [1]
 - a. ☐ [X] Newly executed (original or copy)
 - b. ☐ [] Copy from a prior application 1.63(d)
(for continuation/divisional with Box 17)
 - i. ☐ [] Signed statement deleting inventors
named in the prior application, see
CFR 1.63(d)(2) and 1.33(b)
5. ☐ [] Application Data Sheet. See 37 CFR1.76
6. ☐ [] CD-ROM or CD-R in duplicate, large table or
Computer Program (*Appendix*)
7. ☐ [] Nucleotide/Amino Acid Sequence Submission
(all the following are necessary)
 - a. ☐ [] Computer Readable Copy
 - b. ☐ [] Specification Sequence Listing on:
 - i. ☐ [] CD-ROM or CD-R (2 copies); or
 - ii. ☐ [] Paper
 - c. ☐ [] Statement verifying identity of above
copies

8. ☒ [X] Assignment cover sheet and document(s)
9. ☐ [X] Power of Attorney by Assignee
☐ [] with CFR 3.73(b) statement
10. ☐ [] English Translation Document
11. ☐ [] IDS/PTO-1449
☐ [] with copies of cited references
12. ☐ [] Preliminary Amendment
13. ☒ [X] Return Receipt Postcard (MPEP 503)
14. ☐ [X] Small Entity Statement
☐ [] Statement filed in prior application
Status still proper and desired
15. ☐ [] Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ [] Request and Certification under 35 USC 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent
17. ☐ [] Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an application data sheet:

☐ continuation ☐ divisional ☐ CIP ...of prior application No.: []

prior appl. Information: Examiner: GAU:

For Continuation or Divisional Apps only: the entire disclosure of the prior application, from which an oath or declaration is supplied under box 4b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

NAME	MAREK ALBOSZTA LUMEN INTELLECTUAL PROPERTY SERVICES				
ADDRESS	45 CABOT AVENUE, SUITE 110				
CITY	SANTA CLARA	STATE	CA	ZIP CODE	95051
COUNTRY	USA	TELEPHONE	(408) 260-7300	FAX	(408) 260-7301

SIGNATURE OF AGENT

NAME	ANTHONY G. DERVAN, REG. NO. 38,676
Signature	<i>Anthony G. Dervan</i>
Date	10 August 2001

[illegible]

Fee Calculation:		
for	[] Large Entity / [X] Small Entity.	
Basic Billing Fee:		
[X] Utility Patent Application:	\$710 / \$355	\$355
[] Provisional Patent Application:	\$150 / \$75	\$
Claims:		
[X] Number of Total Claims Over 20: [12]	x \$18 / \$9 =	\$108
[] No. of Independent Claims Over 3: []	x \$80 / \$40 =	\$
Other Fees:		
[] Extension of time, 1 month	\$110 / \$55	\$
[] Extension of time, 2 months	\$390 / \$195	\$
[] Extension of time, 3 months	\$890 / \$445	\$
[] Extension of time, 4 months	\$1390 / \$695	\$
[] Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$
[] Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
[X] Recordation of Assignment Document	\$40	\$40
[] Issue Fee	\$1240 / \$620	\$
[] Printed Patent; Number of Copies: []	x \$3 =	\$
TOTAL PAYMENT:		\$503

Method of Payment:
☒ Payment Enclosed
☐ Check

Signature of Applicant, Attorney, or Agent

Anthony G. Dervan 10 August 2001
Anthony G. Dervan, Reg. No. 38,676 Date